

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
26 July 2001 (26.07.2001)

PCT

(10) International Publication Number  
**WO 01/53559 A1**

(51) International Patent Classification<sup>7</sup>: **C23C 14/14**,  
A61F 2/06, C22K 3/00

(21) International Application Number: PCT/US01/02253

(22) International Filing Date: 24 January 2001 (24.01.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/177,881 24 January 2000 (24.01.2000) US  
60/211,352 13 June 2000 (13.06.2000) US

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(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ,  
DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR,  
HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,  
LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ,  
NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM,  
TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian  
patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European  
patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE,  
IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF,  
CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

**Published:**

- with international search report
- before the expiration of the time limit for amending the  
claims and to be republished in the event of receipt of  
amendments

*For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.*

(54) Title: THIN-FILM SHAPE MEMORY ALLOY DEVICE AND METHOD

(57) Abstract: A thin film device, such as an intravascular stent, is disclosed. The device is formed of a seamless expanse of thin-film (i) formed of a sputtered nitinol shape memory alloy, defining, in an austenitic state, an open, interior volume, having a thickness between 0.5-50 microns, having an austenite finish temperature  $A_f$  below 37 °C; and demonstrating a stress/strain recovery greater than 3 % at 37 °C. The expanse can be deformed into a substantially compacted configuration in a martensitic state, and assumes, in its austenitic state, a shape defining such open, interior volume. Also disclosed is a sputtering method for forming the device.



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## **Thin-Film Shape Memory Alloy Device and Method**

### **Field of the Invention**

The invention herein is directed to a method of fabricating thin-film devices from a shape memory alloy, and to space-filling devices, e.g., intravascular devices, made by these methods.

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### **Background of the Invention**

Medical implants are increasing in use in minimally invasive surgery because of the improved medical results attainable. In particular, intravascular stents are used to reinforce blood vessels to promote healing and to prevent stenosis (narrowing) of blood vessels following procedures such as angioplasty. Alloys of titanium nickel (TiNi or Nitinol shape memory alloy) are gaining popularity over more traditional metals such as stainless steel for use in medical implants because the properties of shape memory and superelasticity enable improvements in design and methods of deployment of these devices.

Demonstrated biocompatibility and novel methods of fabrication have resulted in wide acceptance of orthodontic braces, catheter guidewires, surgical tools, and implantable coronary stents.

5        Fabrication of stents from drawn TiNi tubes is practical only for a limited range of sizes. In particular, it has not been feasible to make stents having the flexibility and size required for delivery intravascularly through small catheters via the carotid arteries.

10        There is a growing demand for smaller and thinner, more flexible stents that can be surgically implanted or delivered via catheter, into small diameter, highly tortuous blood vessels. Suitably flexible structures can be fabricated of thin film (2-10 micrometers thick) shape memory alloys that are sputter deposited on a substrate and heat treated. Composition and heat treatment affect the phase transition temperature of the alloy, which in turn determines whether it exhibits shape memory or superelastic properties.

15        For maximum effectiveness, an intracranial device should be installed through a small diameter catheter, then changed to a pre-determined shape so as to fill a space and apply continuous outward pressure against the blood vessel wall. To accomplish this, three-dimensional shapes such as cylinders, cones, and hemispheres are required, and a shape-changing capability is highly  
20        advantageous.

#### Summary of the Invention

25        The invention includes, in one embodiment, a thin film device comprising a seamless thin-film expanse (i) formed of a sputtered Nitinol shape memory alloy; (ii) defining, in an austenitic state, an open, interior volume; (iii) having a thickness between 0.5-100, preferably 2-50 microns; (iv) having an austenite finish temperature  $A_f$  below  $37^\circ\text{C}$ ; and (v) demonstrating a stress/strain recovery greater than 3% at  $37^\circ\text{C}$ . The expanse can be deformed into a substantially compacted configuration in a martensitic state, and assumes, in its austenitic  
30        state, a shape defining such open, interior volume. The expanse may have, for example, a cylindrical, hemispherical or sock-like shape.

      The device may include a skeletal member to which the expanse is attached, and these members may have a thickness greater than the thickness

of the expanse. In addition, the expanse may be fenestrated with a selected pattern of openings in the thin film.

In another aspect, the invention includes a method of forming the thin-film device. The method includes the steps of placing in a magnetron sputtering  
5 device, a mandrel having an exposed, etchable outer layer that corresponds to the open, interior volume of the device to be formed, providing the sputtering apparatus with a TiNi alloy target composed of between 45-55% each of titanium and nickel, and sputter depositing material from the target adjacent said mandrel under low-pressure, low-oxygen conditions. During the deposition, the mandrel  
10 is moved relative to said target, to achieve substantially uniform sputter deposition over the entire exposed surface of the mandrel, and the deposition is continued until a desired sputtered film thickness between 0.5 and 100 microns, preferably 2 and 50 microns, is formed on the mandrel.

Following sputter deposition, the thin film on the mandrel is heated under  
15 annealing conditions. The thin-film device so formed is then released from the mandrel, typically by exposing the mandrel and deposited thin film to an etchant, under conditions effective to dissolve the outer layer of the mandrel. The mandrel's outer layer may be a separate coating formed on the mandrel surface, or the surface of the mandrel itself. The mandrel may be coated with a smooth  
20 surface such as polyimide before sputtering to ensure a continuous layer of deposited material.

The target has a preferred composition of between about 48 to 51 atomic percent nickel to 52 to 49 atomic percent titanium. Where the sacrificial layer material is chromium, aluminum, or copper, and the etchant may be a chrome  
25 etch, potassium hydroxide, and nitric acid.

The mandrel is preferably rotated during the sputtering step to achieve substantially uniform sputter deposition over the entire exposed surface of the mandrel.

In various embodiments the mandrel may be cylindrical, e.g., for  
30 producing a thin-film stent, sock-like, e.g., for producing an intravascular filter, or hemispherical, e.g., for producing a vaso-occlusive device.

The method may further include applying structural members to the mandrel, prior to depositing the thin film thereon, to form structural members in

the formed device. For use in forming a fenestrated thin-film device, the method may further include forming on the annealed thin film, an resist layer containing a pattern of openings, exposing the coated thin film with a solvent under conditions effective to create fenestrations in the thin film corresponding to the pattern of openings, and removing the resist layer. The fenestrations may have dimensions and interfenestration spacings in the 10-50 micron range.

These and other objects and features of the invention will be more fully appreciated when the following detailed description of the invention is read in conjunction with the accompanying drawings.

#### Brief Description of the Drawings

Figs. 1A-1D illustrate steps in practicing the method of the invention;

Fig. 2 shows a resistivity versus temperature curve of a TiNi thin-film expander formed in accordance with the invention;

Fig. 3 illustrates a stress-strain curve for TiNi thin film at 37°C;

Fig. 4 illustrates a thin-film solid-wall stent constructed in accordance with an embodiment of the invention;

Figs. 5 and 6 illustrate open and folded states of the stent of Fig. 4, respectively, as seen in front-on view;

Fig. 7 illustrates a sock-like thin-film device formed in accordance with another embodiment of the invention;

Fig. 8 illustrates a hemispherical device formed in accordance with still another embodiment of the invention; and

Fig. 9 illustrates a stent with a fenestrated thin-film expander formed in accordance with the invention.

#### Detailed Description of the Invention

##### I. Definitions

Unless indicated otherwise, the terms below have the following meanings:

"A shape defining an open, interior volume" refers to an expander that defines an open volume-containing space, e.g., a cylindrical, sock-like or hemispherical volume-containing space.

"Seamless thin-film expanse" means an expanse that forms an open interior volume without edge-to-edge seams.

"Nitinol" or "TiNi" refers to an alloy containing titanium and nickel, typically each between 45-55 atom percent, and optionally, other metals, such as chromium in relatively minor amount, e.g., 1-5 atom percent.

"Shape memory alloy" is an alloy that displays thermoelastic martensitic transformation defined as the ability to undergo a reversible transformation from an austenite to a martensite with a change in temperature such that an article made from such alloy has a heat stable configuration and is capable of being deformed to a heat unstable position.

"Austenitic state" refers to the stronger, higher temperature phase (crystal structure) present in a shape-memory alloy.

"Austenite finish temperature  $A_f$ " refers to the temperature at which a shape memory alloy finishes transforming martensite to austenite upon heating.

"Martensitic state" refers to the more deformable, lower temperature phase (crystal structure) present in a shape-memory alloy.

"Sputtered alloy" refers to an alloy formed by sputter depositing a target-material alloy on a substrate, such as a mandrel.

"Low-pressure, low-oxygen conditions" refers to sputtering conditions in which the pressure is preferably below  $10^{-5}$  Torr, typically  $10^{-6}$  to  $10^{-8}$  Torr, and the predominant gas is an inert gas such as argon. The amount of oxygen is kept low, e.g., below 0.1 percent, by the low pressure and low oxygen content of the sputter target alloy.

"Etchable" with reference to a mandrel surface means refers to a surface layer, either part of the mandrel or a coating thereon, that can be removed by exposure to a dissolving agent, e.g., etchant.

## II. Method of forming a thin-film expanse

Figs. 1A-1D illustrate steps in forming a thin-film device in accordance with one aspect of the invention. The basic components employed in the method include a mandrel 10 having an outer exposed surface corresponding to the open, interior volume of the device to be formed. The mandrel may have a variety of volume-defining surfaces, such as cylindrical, ellipsoidal,

hemispherical, tapered cylindrical, and conical volume shapes, and may be constructed of a variety of materials, such as steel, glass, or silicon. The dimensions of the mandrel are dictated by the dimensions of the desired thin-film device to be formed.

5           The mandrel is preferably polished, and may be coated with a material such as polyimide to produce a smooth, regular surface on which to deposit shape memory alloy. In one exemplary embodiment, the mandrel is coated with a three micron thick layer of pyralin polymer resin liquid coating type PI2611 (also known as polyimide coating) obtained from HD Microsystems, by spinning  
10       the mandrel to create a thin uniform layer, curing the high-temperature polymer coating in successive steps of baking at 150°C, then curing at 250°C and finally curing at 450-500°C to cross-link the polymer.

          The mandrel may be formed of a material that itself has an etchable surface, such as such as one formed of silicon, that can be removed upon  
15       exposure to an etchant, or may be formed of a material, such as NaCl, KCl, NaF<sub>2</sub>, which are available in flat or cylindrical shapes, and which can be shaped by machining and polishing. These substrates can be dissolved directly, *e.g.*, with an aqueous solvent, without the need of an etchable coating.

          Where the mandrel is formed of a material that is itself not easily etchable,  
20       it is preferably coated with an etchable outer sacrificial layer 14, *e.g.*, formed over a polyimide coating. Layer 14 on the mandrel is preferably metal such as chromium or other material having a highly specific etch rate relative to TiNi so that the sacrificial layer may be removed without damaging the TiNi thin film. It is preferred that the layer used not diffuse readily into TiNi during heat treatment.  
25       Alternative sacrificial layers include aluminum, copper, and photoresist such as SU8 and OCG825. An etchant such as potassium hydroxide used for etching aluminum, nitric acid used for etching copper, and Chrome Etch from Arch Chemicals Inc. containing ceric ammonium nitrate, nitric acid, and water. The etchant may be an aqueous solution for water-soluble mandrels, such as the salt  
30       mandrels noted above.

          The sacrificial layer may be formed by conventional thin-film deposition means, such as vacuum thermal evaporation, electroplating, or sputtering, to form a sacrificial layer preferably less than 1 micron in thickness. In one

embodiment, a chromium layer is applied to a thickness of about of 0.1 micron by the sputter deposition method detailed below, but where the target is chromium rather than a TiNi alloy.

5 The mandrel is mounted on mandrel holder 12 for rotation thereon in the direction of arrow 13 in Fig. 1B. The mandrel holder includes a mandrel-support rod 12a, and a motor 12b for rotating the mandrel at a desired speed, e.g., 0.5 to 2 rpms, during sputter deposition.

Also shown in the figures is a sputter deposition target 18 composed of a nickel-titanium shape-memory alloy, preferably nearly 50 atomic percent Ti, 50  
10 atomic percent nickel, and containing minimal impurities especially carbon and oxygen. Composition control is critical to obtaining TiNi having appropriate shape memory and superelastic qualities. Increasing the content of nickel lowers the transition temperature. As little as 0.1% oxygen renders the film product brittle and unusable. The target may include minor amounts, e.g., 1-5  
15 atomic percent, of other metals, e.g., chromium or platinum, known to effect the behavior of TiNi shape-memory alloys in specific ways.

In particular, the target is preferably selected to produce in the thin-film expanse, an austenite finish temperature  $A_f$  less than  $37^{\circ}\text{C}$  characterized by a four-point resistivity measurement in which the temperature is cycled to above  
20  $100^{\circ}\text{C}$  and below  $0^{\circ}\text{C}$ ; and a stress/strain recovery curve characterized by greater than 3% at  $37^{\circ}\text{C}$ . One exemplary target is composed of a nickel-titanium shape-memory alloy, preferably 46.75 weight percent Ti and 53.25 weight percent Ni, and less than 200 parts per million oxygen; formed by vacuum arc melting. The alloy composition may be enriched in nickel by as much as 1-2  
25 percent to lower the transition temperature, and heat treatment such as rapid thermal anneal followed by heat-soaking at a lowered temperature may be employed as a method of obtaining special stress-strain-temperature characteristics.

The target has exemplary diameter and thickness dimensions of 20 cm by  
30 0.6 cm, respectively. The target is placed in the sputtering apparatus approximately 3-5 cm from the mandrel and parallel to the axis of the mandrel, as shown in Figs. 1A and 1B.



The mandrel and target are contained in a conventional high-vacuum sputtering apparatus, indicated at 16 in Figs. 1A and 1B. The apparatus may be any of a number of known sputtering systems that employ a direct current magnetron or radio frequency sputtering source. One exemplary apparatus is a  
5 Perkin-Elmer PE4400 series sputtering system.

In operation, the coated mandrel and target are placed in the vacuum chamber of the sputtering apparatus, and sputter deposition is carried out in a vacuum of low  $10^{-7}$  Torr base pressure using a single TiNi DC magnetron target, argon gas, and a 5 kW DC power supply. High vacuum is necessary to minimize  
10 the oxygen (and other contaminants). Alternatively, the mandrel may be placed in a cylindrical magnetron sputtering system for deposition of TiNi.

Sputter deposition is carried out until a selected thickness of thin-film expanse of between 0.5-100, preferably 2-50 microns is achieved. During deposition, film thickness may be determined by measuring the time of  
15 deposition and comparing to calibrated samples that are measured by a Tencor Alpha-Step profilometer. Alternatively, film thickness may be measured by placing a piezoelectric crystal adjacent to the target and monitoring its resonant frequency during deposition.

As can be appreciated from the sputtering configuration shown in Fig. 1B, deposition onto the rotating mandrel is effective to produce a substantially  
20 uniform thickness of deposited thin film on the exposed surface of the mandrel, that is, the surface region directly exposed to the target.

When a desired film thickness is reached, the sputter deposition step is terminated, and the thin-film expanse on the mandrel is then annealed under  
25 heating/cooling conditions to achieve desired shape-memory alloy properties in the device. The annealing step may be by thermal heating or by exposure to an infrared heater in vacuum. Use of infrared heating permits rapid heating and cooling so that sacrificial layers such as aluminum may be used, and solvent-removable sacrificial layers such as photoresist. In a typical annealing process,  
30 the thin-film expanse is heated in vacuum at 500-550°C for 20 minutes followed by gradual cooling to ambient temperature. For heat treatment the mandrel is enclosed in a stainless steel fixture to ensure uniform heating.

Following annealing, the thin-film device must be released from the mandrel. This is done preferably by exposing the mandrel and thin-film device thereon to a dissolving agent, e.g., etchant, to remove the outer mandrel layer or the sacrificial layer formed thereon. The step is shown in Fig. 1C, where  
5 sacrificial layer 14 (Figs. 1A and 1B) is removed by the etchant. The mandrel with its two or more layers of deposits is immersed in liquid etchant at room temperature and allowed to soak until the TiNi layer is freed from the surface. The time may vary from one to 24 hours depending on the degree of fenestration of the TiNi, the thickness of the sacrificial layer, and the degree of agitation  
10 applied to the mandrel. Ultrasonic power may be used to accelerate action of the etchant.

At the end of the etching period, the coated mandrel is washed and the thin-film expense is removed from the mandrel. This step is shown in Fig. 1D, showing a thin-film stent 22 formed in accordance with the method.

15 For many applications it will be desirable to form a pattern of openings or fenestrations in the thin-film device, such as will be described below with respect to Fig. 12. According to an important feature of the invention, the method of producing a thin-film device can be extended to produce micro-sized, precisely shaped and spaced openings or in the film. In this embodiment, fenestration  
20 patterns are selectively etched in TiNi thin film, either before or after the above annealing step, to enhance mechanical flexibility of the film, to permit fluid to flow through, to increase the expansion rate of the device or achieve improved adhesion to vascular-wall structure. A positive photoresist is spun on the thin film/silicon mandrel. One preferred photoresist material is Olin OCG825; other  
25 suitable materials are available from alternative vendors. The photoresist and thin film TiNi is then photo-lithographically patterned and etched, respectively. The etchant is, for example, a mixture of nitric acid and buffered oxide etch containing hydrogen flouride. Fenestrations as small as 25 microns x 25  
30 microns in the film have been created. More generally, fenestrations and spacing between adjacent fenestrations may have dimensions in the 5-50 micron size range or larger.

In another embodiment, the method is adapted to produce a thin-film device having internal ribs or struts. In this embodiment, the coated mandrel is

first provided with structural members or ribs applied or deposited on the coated surface, to serve as structural members in a thin-film device as illustrated below in Fig. 7. The structural members are preferably deposited or placed circumferentially about the coated mandrel at one or more positions along the mandrel. The ribs may be, for example, nickel/titanium wire or strips, or some other metal or polymer materials upon which a thin-film expanse can be deposited. The surface must be coated or polished to a sub-micron finish for TiNi to be successfully sputtered onto the mandrel after placement of rib structure.

### III. Properties of the thin-film expanse

Narrow strips of thin film prepared as above were prepared for transformation temperature measurements and stress-strain measurements. To measure transformation temperature, each thin film sample was heated and cooled while changes in voltage were measured and recorded using a 4-probe constant-current technique to produce temperature versus resistivity data.

Figure 2 shows a typical resistivity versus temperature curve of a TiNi film. The transformation temperatures of the alloy are as follows:

Martensite start ( $M_s$ )	-30°C
Martensite finish ( $M_f$ )	-80°C
Austenite start ( $A_s$ )	0°C
Austenite finish ( $A_f$ )	12-15°C

Since the  $A_f$  of this thin film alloy is below body temperature, it is well suited to medical devices that are actuated within the blood vessel.

In stress-strain measurements, film samples 20mm x 1mm x 5 microns in size were used. The deformation fixture used allowed the deformation at a constant temperature in the temperature range from -50°C to +90°C by immersion in an alcohol or water bath. The force applied to the sample and the sample elongation was measured respectively by a strain gage and an LVDT connected to a computer. LABVIEW™ software was used for collecting the data and plotting the stress-strain and resistivity graphs.

Figure 3 illustrates a stress-strain curve for TiNi thin film at 38 °C. The loading plateau for the film is at about 300 MPa (~45 kpsi) and unloading plateau

is at about 150 MPa (~22 kpsi). The thin film clearly exhibits superelastic behavior at body temperature that makes this an excellent material for medical devices, particular miniature implantable devices.

#### 5     IV. Exemplary Devices

          In another aspect, the invention includes a device formed of a seamless thin-film expanse, in accordance with the method above. The "seamless" feature refers to the fact that the expanse forms a continuous surface without interior edges. The important features of devices formed in accordance with the  
10     above method are as follows:

          The devices are formed of a sputtered nitinol shape memory alloy. The expanse is formed by sputtering a thin film from a titanium/nickel alloy target, as described above. The thin-film material defines, in an austenitic state, an open, interior volume. The open interior volume is the volume of the space defined by  
15     the interior of the expanse. The expanse in the device has a film thickness of between 0.5 and 100 microns, preferably 2-50 microns, more preferably 5-50 microns, and a substantially uniform in thickness and composition throughout the expanse. The thin-film expanse has an austenite finish temperature  $A_f$  below  $37^\circ\text{C}$  and demonstrates a stress/strain recovery greater than 3% at  $37^\circ\text{C}$ , where  
20     the expanse can be deformed into a substantially compacted configuration in a martensitic state.

          One exemplary device is shown in Figs. 4-6, where a cylindrical thin-film expanse forms an intraluminal a stent 22. The stent has typical dimensions of about 5-75 microns film thickness, 5-50 mm in length, and 1-10 mm in diameter,  
25     in its open conditions shown in Figs. 4 and 5. A compacted or "folded" configuration is illustrated in Fig. 6, showing stent 22 in a front-on view. As seen, the dimensions of the stent have been reduced considerably in the compacted configuration, allowing the stent, for example, to be delivered through a catheter to a vascular site in need of a stent, e.g., in a compacted, stress-induced  
30     martensite form. Upon release of the stent from the catheter at the target site, the stent regains its fully austenitic, open form, with its outer surface impinging against the walls of the vessel.

A variety of other devices or articles are encompassed by the invention. Shown in Fig. 7 is a sock-like device 24 formed of a thin-film expanse 25 and supported internally by structural members 28. The structural ribs may be disposed in a circumferential direction, as shown, or in a longitudinal direction, like the struts in an umbrella. This device can be formed as described above, on a cone-shaped mandrel, where the mandrel is first coated prior to sputtering the thin-film expanse, and the structural members are formed on the mandrel prior to sputtering. The device may be fenestrated, as illustrated in Fig. 12, and may have a wire or other guide structure attached, for example, for pulling the device through an internal vessel, where the device acts like a filter.

In another embodiment, the device is a hemispherical cap device 30. *e.g.*, for use as a vaso-occlusive agent for treating an aneurysm. Also as discussed above, the thin-film device may be further etched, *e.g.*, by photolithographic etching, to produce a device, such as stent 32 in Fig. 12, having defined-shape, size and position fenestrations. Fenestration patterns as small as 25 microns x 25 microns have been etched in the film using photolithographic technique.

Fig. 12 shows a TiNi thin film stent 30 formed of a thin-film expanse 32 having fenestrations or windows, such as windows 34, formed therein. Windows 25 microns x 25 microns with spacing of about 10 microns between the adjacent openings has been achieved. Since fenestrations are produced by using photolithographic technique, very precise, clean and sharp edges can be achieved. The ability to etch micrometer scale features with an excellent etching quality allows for the production of devices such as implantable filters, or drug-release devices.

25

While the invention has been described with reference to particular embodiments and conditions, it will be appreciated that a variety of changes and modifications may be made without departing from the scope of the invention.

## IT IS CLAIMED:

1. A thin film device comprising a seamless thin-film expanse  
(i) formed of a sputtered nitinol shape memory alloy;  
5 (ii) defining, in an austenitic state, an open, interior volume;  
(iii) having a thickness between 0.5-100 microns;  
(iv) having an austenite finish temperature  $A_f$  below  $37^{\circ}\text{C}$ ; and  
(v) demonstrating a stress/strain recovery greater than 3% at  $37^{\circ}\text{C}$ ;  
where the expanse can be deformed into a substantially compacted  
10 configuration in a martensitic state, and the expanse assumes, in its austenitic  
state, a shape defining such open, interior volume.
2. The device of claim 1, which further includes a skeletal member to  
which the expanse is attached, said member having a thickness greater than the  
15 thickness of the expanse.
3. The device of claim 1, wherein the expanse is in the form of a sock  
closed at one end, and the skeletal member forms a circumferential or  
longitudinal rib in the sock.  
20
4. The device of claim 1, wherein the stent's thin-film expanse is  
fenestrated.
5. The device of claim 1, for use as an intravascular stent, wherein the  
25 thin-film expanse is a cylindrical expanse.
6. The device of claim 5, wherein the stent's thin-film expanse is  
fenestrated.
- 30 7. The device of claim 1, wherein the device is a hemispherical thin-film  
structure.
8. A method of forming the thin-film device of claim 1, comprising

placing in a magnetron sputtering device, a mandrel having an exposed, etchable outer layer that corresponds to the open, interior volume of the device to be formed,

5 providing the sputtering apparatus with a TiNi alloy target composed of between 45-55% each of titanium and nickel,

sputter depositing material from the target adjacent said mandrel under low-pressure, low-oxygen conditions,

10 during said sputter depositing, moving the mandrel relative to said target, to achieve substantially uniform sputter deposition over the entire exposed surface of the mandrel,

continuing said sputtering until a desired thin-film alloy thickness between 0.5 and 100 microns is formed on the mandrel,

heating the thin-film on the mandrel under annealing conditions, and releasing the thin-film device so formed from the mandrel.

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9. The method of claim 8, wherein the mandrel has an etchable surface, and said releasing includes the mandrel and deposited thin-film to an etchant, under conditions effective to dissolve the outer layer of the mandrel, and removing the thin-film device so formed from mandrel.

20

10. The method of claim 8. wherein said target has a composition of between about 48 to 51 atomic percent nickel to 52 to 49 atomic percent titanium.

25

11. The method of claim 8, wherein said sacrificial layer material is selected from the group consisting of chromium, aluminum, and copper, and the etchant is selected from the group consisting of chrome etch, potassium hydroxide, and nitric acid.

30

12. The method of claim 8, wherein said mandrel is rotated during said sputtering step, thus to achieve substantially uniform sputter deposition over the entire exposed surface of the mandrel.

13. The method of claim 8 wherein the mandrel is coated with a smooth surface such as polyimide before sputtering to ensure a continuous layer of deposited material.

5           14. The method of claim 8, wherein the exposed mandrel surface has a shape selected from the group consisting of (i) cylindrical, (ii) sock-like, and (iii) hemispherical.

10           15. The method of claim 8, wherein said depositing is carried out until a film thickness of between 2 and 50 microns is reached.

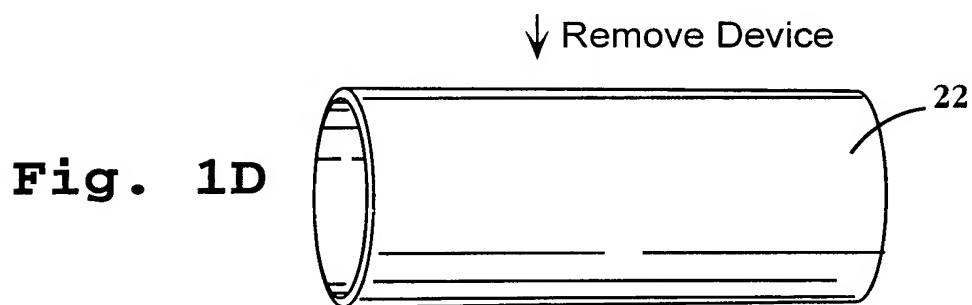
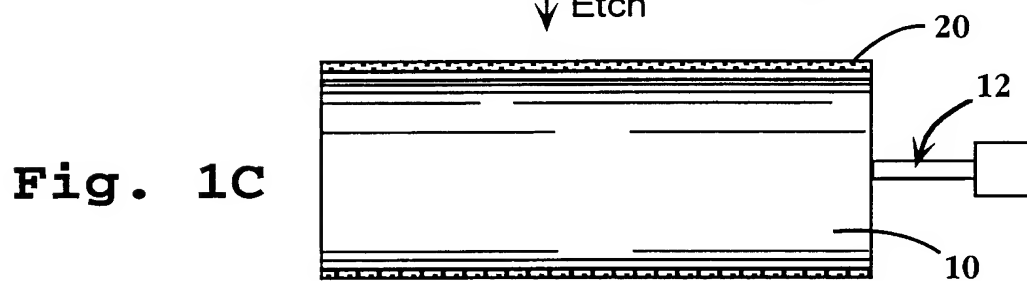
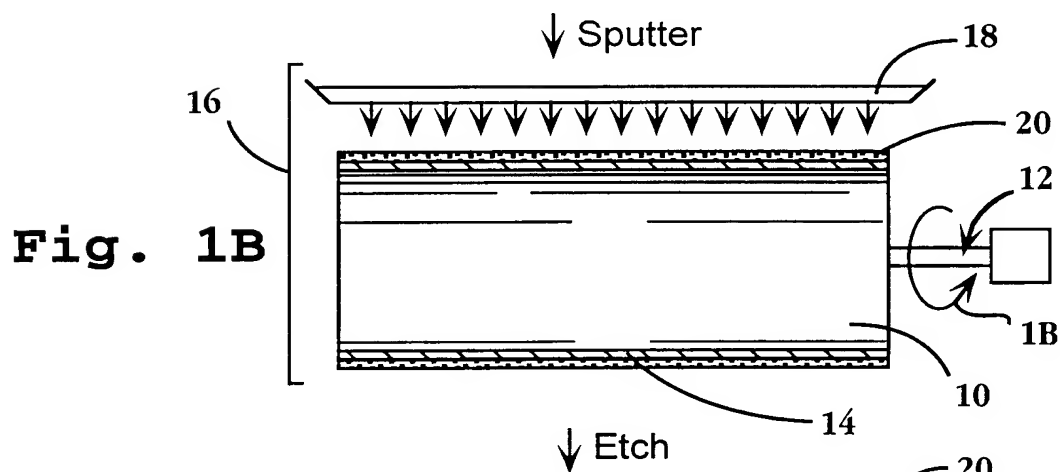
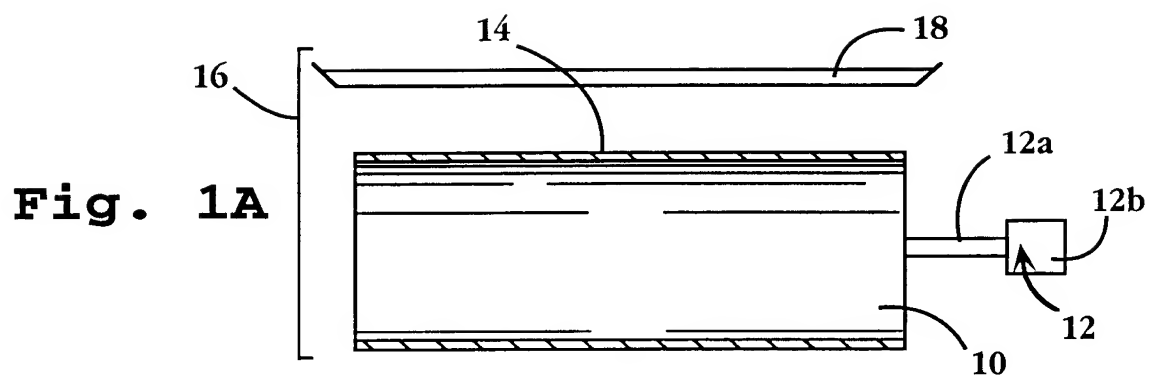
15           16. The method of claim 8, which further includes applying structural members to the mandrel, prior to depositing the thin film thereon, thus to form structural members in the formed device.

20           17. The method of claim 8, for use in forming a fenestrated thin-film device, which further includes forming on the annealed thin film, a resist layer containing a pattern of openings, exposing the coated thin film with a solvent under conditions effective to create fenestrations in the thin film corresponding to the pattern of openings.

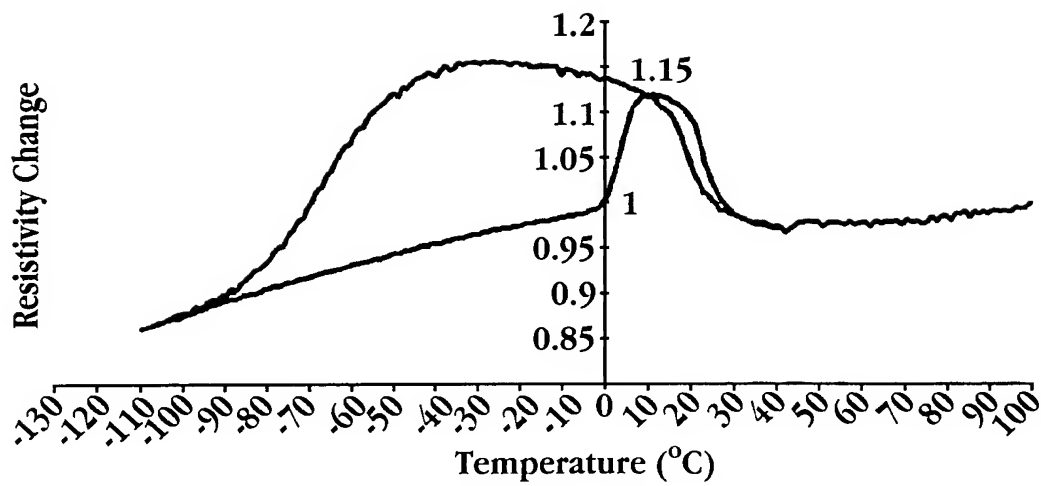
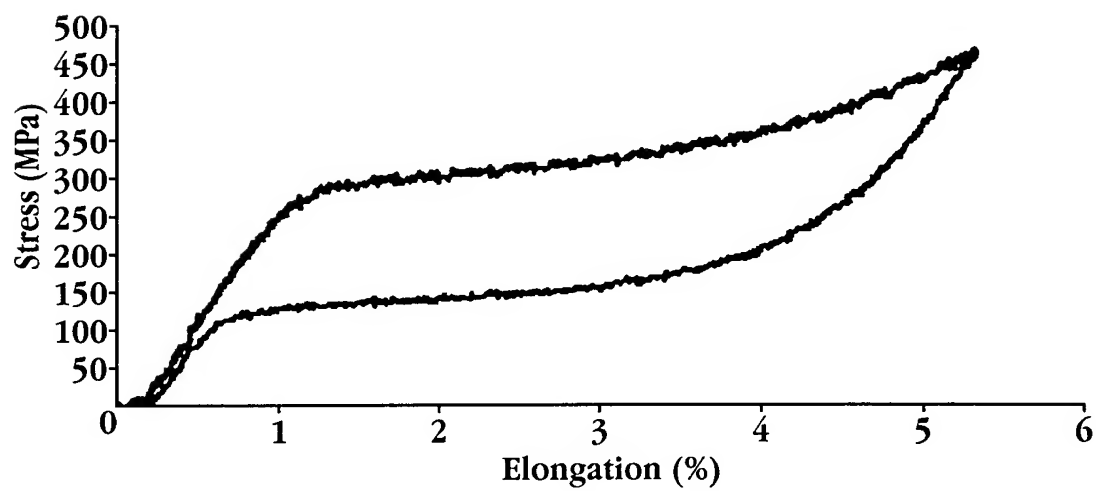
18. The method of claim 17, wherein the fenestrations have dimensions and interfenestration spacings of between about 10-50 microns.



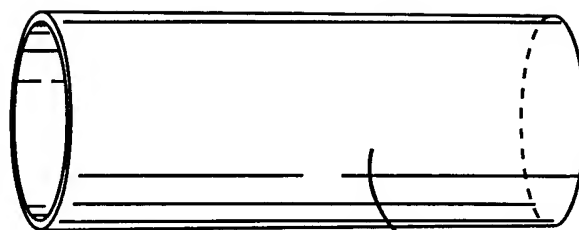
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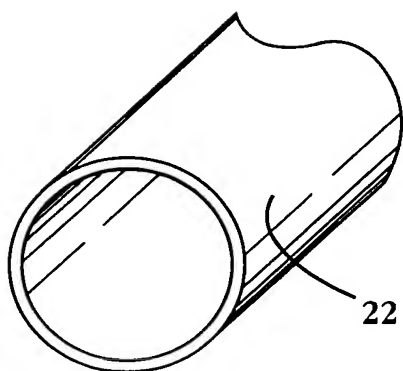
2 / 4

**Fig. 2****Fig. 3**

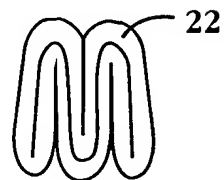
3 / 4



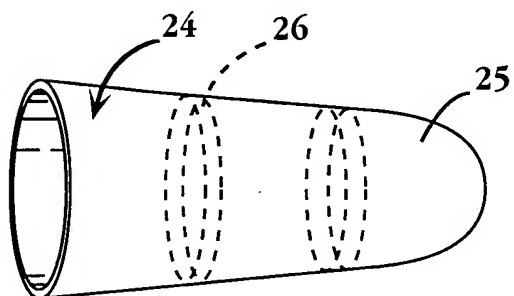
**Fig. 4** 22



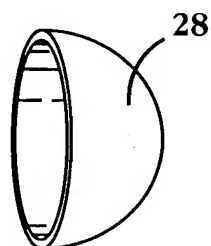
**Fig. 5**



**Fig. 6**

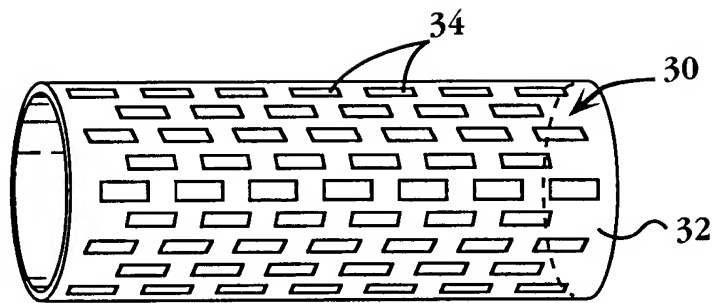


**Fig. 7**



**Fig. 8**

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**Fig. 9**

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/02253

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C23C14/14 A61F2/06 C22K3/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C23C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, INSPEC, WPI Data, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 99 62432 A (UNIV NEW YORK ;BOSE ARANI (US)) 9 December 1999 (1999-12-09)	1-6
A	page 27, line 16 -page 28, line 27 page 33, line 30 -page 34, line 37; claims 1,4-7; figure 1	8
A	US 5 061 914 A (BUSCH JOHN D ET AL) 29 October 1991 (1991-10-29) cited in the application column 7, line 11 - line 47; claim 8	8-18
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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

8 May 2001

Date of mailing of the international search report

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# INTERNATIONAL SEARCH REPORT

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## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>TAKABAYASHI S ET AL: "REVERSIBLE SHAPE MEMORY ALLOY FILM FABRICATED BY RF SPUTTERING" MATERIALS AND MANUFACTURING PROCESSES, MARCEL DEKKER, NEW YORK, NY, US, vol. 13, no. 2, 1998, pages 275-286, XP000933744 ISSN: 1042-6914 the whole document</p> <p>-----</p>	8-18

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 01/02253

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9962432 A	09-12-1999	AU 4332099 A EP 1082072 A	20-12-1999 14-03-2001
US 5061914 A	29-10-1991	WO 9100608 A	10-01-1991